

Financial Planning Document Checklist

In order to provide the most comprehensive analysis possible, we ask you to gather all the financial information you can. The information you provide will determine how comprehensive the financial plan will be. Please use the following checklist as a guide. Adviser will check those that apply.

Investment and savings statements

- Bank account statements
- Account statements for any investments you own
- Employment benefits statement
- Retirement/401(k) plan statements

Income and cash flow information

- Most recent federal and state income tax returns
- Pay stubs
- A list of future funding requirements: second home, college expenses, etc.

Documents related to your debts (amounts you owe).

- Mortgage information
- Credit card statements
- Student/other loan statements
- Auto loan statement

Estate planning information

- Current will or trust

Other legal documents

- Property or investment agreements
- Pre-nuptial agreements/divorce settlements
- Property settlement agreements

Insurance policies

- Life insurance policies
- Disability insurance policies
- Long Term Care insurance

Family Information

- Account statements for children's investments if applicable
- Care information for parents if applicable

Prepare to discuss

- Please come prepared to identify your short and long term financial goals.